

ANNEX 6: RULES AND CONDITIONS

1. Conditions

CONDITION ID	DESCRIPTION
C0060	<p>IF 'Type of packages (code)' indicates 'BULK' (UNECE rec 21 : 'VQ', 'VG', 'VL', 'VY', 'VR', 'VS' or 'VO')</p> <p>THEN 'Shipping Marks' = 'O'</p> <p>'Number of packages' can not be used</p> <p>ELSE</p> <p>IF 'Type of packages (code)' indicates 'UNPACKED' (UNECE rec 21 : =</p> <p>'NE', 'NF' or 'NG')</p> <p>THEN 'Shipping Marks' = 'O'</p> <p>'Number of packages' = 'R'</p> <p>ELSE 'Shipping Marks' = 'R'</p> <p>'Number of packages' = 'R'</p>
C0212	<p>IF 'Query Response' is equal to '01' or '02' or '04' or '05'</p> <p>THEN this datagroup is applicable</p> <p>ELSE this datagroup cannot be used</p>
C0217	<p>IF "Proof Status" is equal to "E-Registered" THEN this D.G./D.E. is applicable ELSE this D.G./D.E. cannot be used</p>
C0218	<p>IF 'Response Indicator' is equal to '03'</p> <p>THEN 'Endorsement Rejection Justification' is applicable</p> <p>ELSE 'Endorsement Rejection Justification' cannot be used</p>
C0358	<p>IF 'Usage Indicator' is equal to '1' (Is to be Used)</p> <p>THEN this datagroup is applicable</p> <p>ELSE this datagroup cannot be used as all items will be registered as used</p>
C0359	<p>IF 'Proof Usage Decision' is equal to '0' (No) THEN 'Proof Usage Decision Explanation' is Required ELSE 'Proof Usage Decision Explanation' is Optional</p>
C0490	<p>IF the data item/data group is used and has the same value(s) for all other goods items</p> <p>THEN the data group/data item on GOODS SHIPMENT FOR T2L-T2LF level is used,</p> <p>ELSE the data group/data item on GOODS SHIPMENT FOR T2L-T2L/GOODS ITEM FOR T2L-T2LF level is used.</p>
C0493	<p>IF ADDITIONAL INFORMATION/CODE = "40100" THEN this data item is Required</p>
C0500	<p>IF "Result Check If Full Data is Requested" = "Yes" AND "Result Check If User has Access to a Proof" = "Yes" THEN this D.G./D.E. is applicable ELSE this D.G./D.E. cannot be used</p>

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C0502	IF "Result Check If User has Access to a Proof" = 1 THEN this data item / data group is applicable ELSE this data item / data group cannot be used
C0503	This is only to be completed when the "Decision on Requesting Supplementary Documents Indication" is 'Yes'.
C0505	IF the data item/data group is used and has the same value(s) for all other goods items THEN the data group/data item on SUPPLEMENTARY DOCUMENTS FOR GOODS SHIPMENT FOR T2L-T2LF level is used, ELSE the data group/data item on SUPPLEMENTARY DOCUMENTS FOR GOODS SHIPMENT FOR T2L-T2L/SUPPLEMENTARY DOCUMENTS FOR GOODS ITEM FOR T2L-T2LF level is used.
C0507	IF Control Result Code = P2 or P3 or P4 or P5 THEN this data group is Required ELSE not applicable
C0572	IF 'Representative identification No.' is not equal to 'Person requesting a proof of the customs status of Union goods identification No.' THEN the datagroup = 'R' ELSE the datagroup = 'O'
C0602	IF 'Query Response' is equal to '02' THEN this data item / data group is applicable ELSE this data item / data group cannot be used
C0607	IF 'MRN' has been issued THEN 'LRN' cannot be used 'MRN' = R ELSE 'LRN' = R 'MRN' cannot be used
C0608	This data element cannot be used when it is part of an information exchange that is a response to a query for the retrieval of a proof (C_RET_RPQ or C_REM_RPQ). Otherwise it is optional.
C0610	IF 'Approval on Validity Period indication' is no THEN this D.G./D.E. is "R" ELSE this D.G./D.E. is "O".
C3305	IF COMMODITY CODE (HI LEVEL) IS MISSING THEN this data item is Required
C3306	IF Endorsement Request or Presentation Request or Amendment Request is from NMSW/National System Other than PoUS THEN this data item is Required
C3307	IF DESCRIPTION OF GOODS (MI LEVEL) IS MISSING THEN this data item is Required
C3308	IF Proof IS FOR CGM THEN Response Indicator can be only 'Endorsed' or 'Endorsement Rejected' ELSE Response Indicator can be 'Endorsed' or 'Endorsement Rejected' or 'Registered'

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C3309	<p>IF CGM is Presented THEN 'Person Lodging the Customs Goods Manifest' cannot be used 'Person Presenting a proof of the customs status of Union goods identification No.' = R ELSE 'Person Lodging the Customs Goods Manifest'= R 'Person Presenting a proof of the customs status of Union goods identification No.'cannot be used</p>
C3310	<p>IF 'Proof To Be Amended Indicator' is equal to '0' THEN 'Proof not to Be Amended Justification' is applicable ELSE 'Proof not to Be Amended Justification' cannot be used</p>
C3311	<p>IF 'Representative identification No.' is not equal to 'Person Lodging the CGM Identification No.' THEN the datagroup = 'R' ELSE the datagroup = 'O'</p>
C3314	<p>IF 'Query Response Indicator' is equal to '04' (Partially Used) THEN this data group/data element is applicable ELSE data group/data element cannot be used</p>
C3315	<p>IF 'MRN' has been issued THEN 'E_PUM_REQ' cannot be used 'C_REM_RPQ' = R ELSE 'E_PUM_REQ' = R 'C_REM_RPQ' cannot be used</p>
C3316	<p>IF the data item/data group is used and has the same value(s) for all other goods items THEN the data group/data item on Master Consignment for CGM (MC) level is used, ELSE the data group/data item on House Consignment Goods Item level for CGM (HI) level is used.</p>
C3317	<p>IF "Number of Days" > 90 THEN this D.G/D.E = "R" ELSE this D.G/D.E is not applicable.</p>
C3318	<p>IF CGM is Presented THEN this data element = R ELSE this data element cannot be used.</p>
C3319	<p>IF "Identification No." is not an EORI No THEN "Name" and "ADDRESS" = "R" ELSE "Name" and "ADDRESS" = "O"</p>
C3320	<p>IF "QualifierOfTheIdentification" = "U – UN/LOCODE" THEN "UN/LOCODE" = "R" ELSE IF "QualifierOfTheIdentification" = "V – Customs office" THEN "CUSTOMS OFFICE" = "R" ELSE IF "QualifierOfTheIdentification" = "W – GNSS coordination" THEN</p>

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	“GNSS” = “R” ELSE IF “QualifierOfTheIdentification” = “X – EORI number” THEN “ECONOMIC OPERATOR” = “R” AND “Additional Identifier” = “O” ELSE IF “QualifierOfTheIdentification” = “Y – Authorisation number” THEN “Authorisation Number” = “R” AND “Additional Identifier” = “O” ELSE IF “QualifierOfTheIdentification” = “Z - Address” THEN “ADDRESS” = “R” ELSE IF “QualifierOfTheIdentification” = “T – Postcode address” THEN “POSTCODE ADDRESS” = “R”
C3332	IF “Request Type” is “2-Registration Request” THEN this Data Group is “R” ELSE this Data Group cannot be used
C3335	At least one entry from D.G. Communication for PC or from DG Communication for Representative is defined
C3336	IF the D.G./D.E. is part of a request from an E.O. or a National System THEN it is not applicable ELSE D.G./D.E. is "R"
C3337	Container” is “1-Yes” THEN this Data Group is “R” ELSE this Data Group = “O”;
C3338	IF all Good Items have a Supporting document declared THEN Supporting document OR Transport document on Goods Shipment level is “O” ELSE IF at least one Good Item has no Supporting document declared THEN Supporting document OR Transport document on Goods Shipment level is “R”.
C3339	IF the Proof is registered by a National PoUS System THEN the D.G./D.E. is not applicable ELSE D.G./D.E. is "R"
C3340	IF “Proof Status is “E-Rejected” and no Endorsement Decision is taken this D.G./D.E. is “R” ELSE this D.G./D.E. cannot be used.
C3341	IF “Proof Status is “E-Rejected” and an Endorsement Decision is taken this D.G./D.E. is "R" ELSE this D.G./D.E. cannot be used.
C3342	If “Endorsement Decision” is “No” THEN this D.G. is "R" else this D.G. is "O".
C3344	IF the D.E./D.G.is used and has the same value(s) for all other goods items THEN the D.E./D.G on PN DOCUMENTS FOR GOODS SHIPMENT FOR T2L-T2LF level is used, ELSE the D.E./D.G on PN DOCUMENTS FOR GOODS SHIPMENT FOR T2L-T2LF/ PN DOCUMENTS FOR GOODS ITEM FOR T2L-T2LF level is used.
C3346	IF "National System Request Indication" = "Yes" THEN this D.G./D.E. = "R" ELSE this D.G./D.E. is not applicable.
C3347	IF “Proof Status” is “P-Waiting RA Results” OR “P-Waiting Control Decision” OR “P-Waiting for Documentary Control Result” OR “P-Waiting for Physical Control Result” OR “P-Waiting Proof Usage Decision” OR “P-Waiting for Supplementary Documents” OR “P-Used” THEN this D.G./D.E. = "R" ELSE this D.G./D.E. is not applicable.
C3348	IF National Risk System is consulted THEN the D.G./D.E. is “R” ELSE D.G./D.E. is not applicable.
C3349	IF relevant control is performed OR an automatic control result is set by the

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	system THEN this D.G./D.E. is “R” ELSE this D.G./D.E. is not applicable.
C3350	IF 'Representative identification No.' is not equal to 'Person presenting the goods to customs identification No.' THEN the datagroup = 'R' ELSE the datagroup = 'O’”
C3362	IF the Proof is presented in a National PoUS System THEN the D.G./D.E. is not applicable ELSE D.G./D.E. is "R".
C3363	IF “Proof Status” is “P-Used” THEN this D.G./D.E. = "R" ELSE this D.G./D.E. is not applicable.
C3366	If Risk Analysis system is consulted and risk is identified (thus a control is recommended) then this D.G. is required else this D.G. is optional.
C3367	IF “Proof Status” is “P-Used” THEN this D.G./D.E. = "R" ELSE this D.G./D.E. is not applicable.
C3368	IF relevant controls at arrival have not been performed OR “Control Result Code” is (“A1 – Satisfactory” OR “A2 – Considered Satisfactory” OR “P4 – Missing goods” OR “P5 – Excess goods”) THEN this D.G./D.E. is “R” ELSE this D.G./D.E. cannot be used.
C3369	IF relevant controls at arrival have been performed OR an automatic control result is set by the system THEN this D.G./D.E. is “R” ELSE this D.G./D.E. cannot be used.
C3371	IF 'LRN' is used the D.G./D.E. is “R” ELSE the D.G./D.E. cannot be used.
C3372	IF the D.G./D.E. is part of a request for national risk analysis consultation of a presented proof THEN this D.G./D.E. is “R” ELSE it is not applicable.
C3373	At least one supplementary document on Goods Shipment or on Goods Item level must be requested.
C3374	IF a supporting document was requested in Request for Supplementary Documents from CCO (IEP22) OR in Request for Supplementary Documents from PCO (IEP45) THEN this D.G./D.E. is "R" ELSE this D.G./D.E. is not applicable.
C3375	IF a transport document was requested in Request for Supplementary Documents from CCO (IEP22) OR in Request for Supplementary Documents from PCO (IEP45) THEN this D.G./D.E. is "R" ELSE this D.G./D.E. is not applicable.
C3376	IF a supplementary document for goods item level was requested in Request for Supplementary Documents from CCO (IEP22) OR in Request for Supplementary Documents from PCO (IEP45) THEN this D.G./D.E. is "R" ELSE this D.G./D.E. is not applicable.
C3379	IF "Result Check If Full Data is Requested" = “No” this D.G./D.E. is applicable ELSE this D.G./D.E. cannot be used.
C3380	IF "Result Check If Proof Information Request is Initiated by a Customs Office” = “Yes” THEN this D.G./D.E. is applicable ELSE this D.G./D.E. cannot be used
C3384	

C3385	IF the D.G./D.E. is part of a request from an E.O. or a National System OR "Is Applicable Indicator" is "1" THEN it is not applicable ELSE IF "Is Applicable Indicator" is "0" THEN D.G./D.E. is "R".
C3386	IF "Request Type" is "2-Registration Request" THEN this Data Group is not applicable ELSE this D.G is "R"
C3387	IF the DG "Person Presenting the Goods to Customs" is used in the context of an Endorsement/Registration Request the DG "Communication" is "O" ELSE IF it is used in the context of a presented proof at least one entry from DG "Communication" of DG "Person Presenting the Goods to Customs" or DG "Representative at Arrival" has to be defined.
C3388	If the proof is issued retrospectively (98201) or/and all Goods have non-EU Packaging (98200) THEN this DG is "R" ELSE it is not applicable.
C3389	If the goods have non-EU Packaging and it is not declared on the GS level THEN this DG is "R" ELSE it is not applicable.
C3390	IF 'D.E. Code' is retrospective (D.E. Code = 98201) THEN the datagroup = 'R' ELSE the datagroup = '0'.
R1080	This D.E./D.G. is technical. It is not provided as input but automatically generated by the system from the security context in which the message is sent

Table .1: Conditions

2. Rules

RULE ID	DESCRIPTION
R0005	'Goods Item Number' is always used even if 'Items' = '1', 'Goods Item Number' is also '1'.
R0007	Each 'Goods Item Number' is unique throughout the declaration. The items shall be numbered in a sequential fashion, starting from '1' for the first item and incrementing the numbering by '1' for each following item".
R0015	Only code list values T2L and T2LF are possible
R0105	The total number of packages is equal to the sum of all 'Number of packages' + a value of '1' for each declared 'bulk'.
R0106	When a proof of union status covers more than 1 item of goods, the total gross mass will contain the sum of the individual gross mass values of each item good.
R0123	From the originally received IE, only the attributes in error are transmitted back to the Trader, indicating whether the attribute(s) in question is (are) missing or incorrect.
R0151	When Gross mass (Kg) Presented is equal or exceeds Endorsed Gross mass (kg) Item Status is flagged Already Used
R0530	Any Person Concerned or Authorised Issuer or their Representatives can set a validity period greater or equal than 90 days for a requested proof. No

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	value under 90 days is accepted. Default value automatically filled by the system is 90 days.
R0533	IF the source of the request is a National PoUS system or another National system or a NMSW THEN "National System - NMSW Request Indication" = 1 ELSE "National System - NMSW Request Indication" = 0
R0535	From the originally received IE, only the attributes in error are transmitted back to the National System, indicating whether the attribute(s) in question is (are) missing or incorrect.
R0536	When used to retrieve the Proofs that are to be expired the current date must be set.
R0537	If transmitted, the data elements / data groups are read-only and just informative to allow the link of supplementary documents with shipment or specific goods item.
R0660	The DateTime has to be given in the format yyyy-mm-ddThh:mm:ss where: yyyy = Year, mm = Month, dd = Day, hh = Hour, mm = Minute, ss = Second. Time Zone to be used: UTC
R0712	The date has to be given in the format YYYY-MM-DD where: YYYY = Year , MM = Month, DD = Day, and it must be UTC time based.
R0871	Eastern longitude and Northern latitude will use the optional '+' sign. Western longitude and Southern latitude will use the '-' sign.
R1051	IF the source of the request is a NMSW/National System Other than PoUS THEN "NMSW/National System Other than PoUS" = 1 ELSE "NMSW/National System Other than PoUS" = 0
R1052	When "Type of Location Code" is "A - Designated location" this Data Element can have the values "U – UN/LOCODE" or "V – Customs office", when "Type of Location Code" is "B - Authorised place" this Data Element can have the value "Y – Authorisation number", when "Type of Location Code" is "C – Approved place" this Data Element can have the values "T – Postcode address" or "U – UN/LOCODE" or "W – GNSS coordination" or "X – EORI number" or "Z - Address", when "Type of Location Code" is "D – Other" this Data Element can have the values "T – Postcode address" or "U – UN/LOCODE" or "W – GNSS coordination" or "Z - Address"
R1053	Only code list value CGM is possible
R1054	Only CL Values related to Endorsement or Registration requests are permitted. Presentation, Amendment and Expiration values are not possible.
R1055	Only CL Values related to Presentation are permitted. Endorsement, Amendment and Archive values are not possible.
R1059	Each Goods Item has an endorsed Gross Mass.
R1060	GEONOM Code - The Union's alphabetic codes for countries and territories are based on the current ISO alpha 2 codes (a2) in so far as they are compatible with the requirements of Commission Regulation (EU) No 1106/2012 of 27

	November 2012 implementing Regulation (EC) No 471/2009 of the European Parliament and of the Council on Community statistics relating to external trade with non-member countries, as regards the update of the nomenclature of countries and territories (OJ L 328, 28.11.2012, p. 7-15). In the context of transit operations, the ISO 3166- alpha-2 country code shall be used.
R1061	Customs Union and Statistics (CUS) number assigned within the European Customs Inventory of Chemical Substances (ECICS) to mainly chemical substances and preparations.
R1062	Commodity Code and Description cannot be Amended in the Amendment Process
R1063	In case of control at departure the values “A1 – Satisfactory”, “A2 – Considered Satisfactory”, “P2 – Different goods” and “P3 – Non-union goods” are applicable. In case of control at arrival the values “A1 – Satisfactory”, “A2 – Considered Satisfactory”, “P2 – Different goods”, “P4 – Missing goods” and “P5 – Excess goods” are applicable.”
R1064	In case the supplementary documents have been provided by the CCO on behalf of the EO, the only available value is “E-Waiting for Documentary Control Result”. In case the supplementary documents have been provided by the PCO on behalf of the EO, the only available value is “P-Waiting for Documentary Control Result”.
R1065	Only values “E-Rejected” or “E-Registered” are applicable.
R1066	The D.G. will include the final control result. That is, it will include either the “Physical Control Result” if both Physical and Documentary Control have been performed OR the “Documentary Control Result” if only the Documentary Control has been performed.
R1067	This D.E./D.G. is technical and is not part of the actual XML content. It is an information that is populated in the message that will arrive to the PoUS system and propagated via the authentication provider.
R1068	Only values “E-Registered”, “E-Expired”, “P-Waiting RA Results”, “P-Waiting Control Decision”, “P-Waiting for Documentary Control Result”, “P-Waiting Proof Usage Decision”, “P-Waiting for Supplementary Documents” and “P-Used” are applicable.
R1069	In the context of Proof of Union Status, only direct representation is possible
R1070	Only value “E-Registered” is applicable.
R1072	Only values “E-Registered”, “E-Expired”, “P-Used” and “P-Partially Used” (for CGM Proofs). These are the Proof of Union Status milestones (i.e. checkpoint statuses), so if the Proof is under an intermediate presentation status: - the checkpoint status “E-Registered” will be applicable in case of a T2L/T2LF Proof or of the first presentation of a CGM Proof; - the checkpoint status “P-Partially Used” will be applicable for all subsequent presentations of a CGM Proof.

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R1073	Only value "P-Used" is applicable.
R1074	Only values "E-Registered" or "P-Used" are applicable.
R1075	In case the supplementary documents are requested from the CCO, the available values are "Previous Document" and "Supporting Document". In case the supplementary documents are requested from the PCO, the available value is "Supporting Document".
R1076	IF the "Document Category" is "Previous Document" THEN the applicable CL is the "CL214 - Previous Document Type" ELSE IF the "Document Category" is "Supporting Document" THEN the applicable CL is the "CL213 - Supporting Document Type" ELSE IF the "Document Category" is "Transport Document" THEN the applicable CL is the "CL754 - Transport Document Type".
R1078	IF this D.G./D.E. is part of a response to Request for Supplementary Documents from CCO (IEP23) and IF a previous document was requested in Request for Supplementary Documents from CCO (IEP22) THEN this D.G./D.E. is "R" ELSE IF a previous document was not requested or IF this D.G./D.E. is part of a response to Request for Supplementary Documents from PCO (IEP46) THEN this D.G./D.E. is not applicable.
R1079	Only value "P-Used" is applicable.
R1081	Only value "E-Expired" is applicable.
R1082	This D.E. is retrieved from the central attachment service.
R1083	This D.E. is calculated by the system.
R1084	The default value of this D.E. is "1".
R1085	In case the supplementary documents are requested from the CCO, the available values are "Previous Document", "Supporting Document" and "Transport Document". In case the supplementary documents are requested from the PCO, the available values are "Supporting Document" and "Transport Document".
R1086	This D.G. is visible only to Customs Officers.
R1087	In case the supplementary documents are requested from the CCO, the only available value is "E-Waiting for Supplementary Documents". In case the supplementary documents are requested from the PCO, the only available value is "P-Waiting for Supplementary Documents".
R1088	When the message is sent to the Economic Operator the "Proof Status" is mapped as follows: <ul style="list-style-type: none"> - "E-Under Evaluation" when the "Proof Status" is one of the following: - "E-Waiting RA Results"; - "E-Waiting Control Decision"; - "E-Waiting for Documentary Control Result"; - "E-Waiting for Physical Control Result"; - "E-Waiting for Endorsement Decision"; - "E-Waiting for Extended Validity Period Decision".

	<ul style="list-style-type: none"> - "P-Under Evaluation" when the "Proof Status" is one of the following: - "P-Waiting RA Results"; - "P-Waiting Control Decision"; - "P-Waiting for Documentary Control Result"; - "P-Waiting for Physical Control Result"; - "P-Waiting Proof Usage Decision".
R1093	Only value 2 (Representative (direct representation within the meaning of Article 18(1) of the Code)) is applicable
R1094	<p>Only codes 98200 (N-Packaging) and 98201 (Proof Issued retrospectively) are available. Code 98200 is available in both GS and GI levels.</p> <p>If all goods have non-EU Packaging code 98200 may be used at GS level. Code 98201 may be used at GS level.</p>

Table .2: Rules