

DG TAXUD - TRANSIT COMPUTERISATION PROJECT	REF : TSS-FSF-REL4
FUNCTIONAL TRANSIT SYSTEM SPECIFICATION	
APPENDIX A3 : PROCEDURAL CODES & STRUCTURE OF REFERENCE NUMBERS	

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AAR REJECTION REASON CODE	n	..2	<p>Code to identify the AAR Rejection Reason in IE03.</p> <p>Values:</p> <p>1 = Movement arrived at Destination 2 = Cancelled 3 = MRN unknown 4 = Other reasons</p>
ADDITIONAL INFORMATION ID	an	..5	<p>Codes for SPECIAL MENTIONS (Box 44), see Annex 37c Implementing Provisions and Annex D2 of the Convention 'Additional Information/Special Indication Code'</p> <p>- Common Codes:</p> <p>DG0 = "Export from 'XX' subject to restriction" Remark: 'XX' = 1 EFTA country or 'EC'</p> <p>DG1 = "Export from 'XX' subject to duties" Remark: 'XX' = 1 EFTA country or 'EC'</p> <p>DG2 = EXPORT</p> <p>Based on Regulation 1875/2006, Annex 30A following common code can be used additionally, if NCTS is used for safety and security.</p> <p>10600 = Negotiable Bill of lading 'to order blank endorsed'</p> <p>- Additional Codes can also be defined at national level.</p>
INFORMATION CODE	n	2	<p>Values:</p> <p>10 = Requested Additional information # 1: see following text 11 = Requested Additional information # 1 not available 20 = Requested Additional information # 2: sent as requested 21 = Requested Additional information # 2 not available 30 = Requested Additional Information # 3: sent as requested</p>

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31 = Requested Additional information # 3 not available

40 = Requested Additional Information # 4: see following text

41 = Requested Additional information # 4 not available

50 = Requested Additional Information # 5: see following text

51 = Requested Additional information # 5 not available

60 = Requested Additional information # 6: sent as requested

61 = Requested Additional information # 6 not available

ADDRESS TYPE

a

1

Used for GUARANTOR ADDRESS

Values:

L = Local address

S = Service address for other states

AGREED LOCATION CODE

an

..17

Agreed location of goods – precise indication of the place where the goods can be examined.

To be defined at national level.

AMENDMENT REJECTION MOTIVATION CODE

n

1

Used to specify the Amendment Rejection Motivation.

To be defined at national level.

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ATR REJECTION REASON CODE	an	..2	Values: 1 = Movement arrived at Destination 2 = Movement entered and not yet exit the country 3 = Movement exit from the country 4 = Other reason 5 = MRN unknown 6 = Cancelled 7 = Not applicable (NCTS/TIR, AD, SM)
AUTHORISATION ID	an	..17	Unique Identifier for AUTHORISATION. To be defined at national level.
AUTHORISED LOCATION OF GOODS	an	..17	Authorized location of goods – precise indication of the place where the goods can be examined. To be defined at national level.
COMMODITY CODE	an	..6	The usage of commodity codes up to eight digits is allowed (nationally), however only the first six digits are sent to destination/transit countries with the AAR/ATR, see Annex 37c Implementing Provisions and Annex D2 to Appendix III of the Convention.

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			OTH	Other country
			TOC	Transit-country not belonging to EC *
			[* EC = European Community]	
COUNTRY/REGION CODE	an	..3	Codes to be defined at national level.	
CURRENCY CODE	an	3	ISO 4217; Code to identify the currency used in <u>Transit</u> -countries	
CUSTOMS OFFICE REFERENCE NUMBER	an	8	Customs Office Reference Number as defined in Annex 37c Implementing Provisions and Annex D2 to Appendix III of the Convention.	
CUSTOMS SUB PLACE	an	..17	Customs sub place	
			To be defined at national level.	
DECLARATION TYPE	an	..5	Declaration Types:	
			T- = Mixed T1 and T2 goods	
			T1 = External Community transit	
			T2 = Internal Community transit	
			T2F = T2 between diff. fiscal territories	
			T2SM = See T2 + San Marino	
			TIR = Movement under the TIR convention	
DISCREPANCY SOLVED NOTIFICATION CODE	an	..2	Used to specify whether the discrepancies have been solved at the Office of Departure or not	
			0 = Discrepancy not solved at OoDep	
			1 = Discrepancy solved at OoDep	

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DIVERSION REJECTION CODE **n** **..2** Used to specify the AAR rejection reason (IE 21).

Values:

4 = Other reasons

DOCUMENT TYPE **an** **..4** Used to specify the produced document/certificates.

For a list of possible values, see Annex 37c Implementing Provisions and Annex D2 to Appendix III of the Convention

Following of the code values shall be marked as 'transport documents' for the purpose of Condition 567/901/903:

- 235 Container list
- 271 Packing list
- 703 House waybill
- 704 Master bill of lading
- 705 Bill of lading
- 720 CIM consignment note (rail)
- 722 Road list-SMGS
- 730 Road consignment note
- 740 Air waybill
- 741 Master air waybill
- 750 Despatch note (post parcels)
- 760 Multimodal/combined transport document (generic)
- 785 Cargo manifest
- 787 Bordereau
- 952 TIR carnet
- 955 ATA carnet
- Y022 Consignor / exporter (AEO certificate number)
- Y023 Consignee (AEO certificate number)

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Y024 Declarant (AEO certificate number)
Y025 Representative (AEO certificate number)
Y026 Principal (AEO certificate number)
Y027 Warehousekeeper (AEO certificate number)
Y028 Carrier (AEO certificate number)
Y029 Other authorised economic operator (AEO certificate number)

FLAG	n	1	Used for attributes that can have a positive either a negative value. Values: 0 = No 1 = Yes
GEO INFO CODE	an	..6	The complete list the General Notes of the "LIST of authorised customs offices for Community transit/common transit operations", originally provided in the General Notes of the "LIST of authorised customs offices for Community transit/common transit operations" is currently updated and available in the CS/RD Some examples: CN = North Sea Coast DE/CH German-Swiss frontier
GEO-NOMENCLATURE CODE	n	3	Used in Country Geo Nomenclature Code as specified in on the nomenclature of countries and territories for the external trade statistics of the Community and statistics of trade between Member States (Currently in Reg. (EC) no 750/2005 (OJ L 236, 19.5.2005, p. 12)
GUARANTEE ACCESS CODE	an	4	Four digits used to access an NCTS guarantee; see Section III under Heading 1.1.2.5 'Access Code'.
GUARANTEE MONITORING CODE	n	1	Indication on the monitoring type of a guarantee in NCTS.

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Values:

1 = Type 1 monitoring: MRN, date

2 = Type 2 monitoring: MRN, date, amount

3 = Type 3 monitoring: MRN, date, amount and update account

GUARANTEE REFERENCE NUMBER **an** **..24**

Each NCTS guarantee will be referenced by a unique Guarantee Reference Number (GRN) which will be used for validation in the guarantee management sub system.

GUARANTEE TYPE **an** **1**

Guarantee Types:

0 = Guarantee waiver

1 = Comprehensive Guarantee

2 = Individual Guarantee (by guarantor)

3 = Cash Deposit Guarantee

5 = : Guarantee waiver for secured amount up to 7000 EURO

4 = Individual guarantee in the form of voucher

6 = Guarantee waived

7 = Not required between OoDep/OoTra

8 = Not required certain public bodies

9 = Individual GUA with multiple usage

A= Guarantee waiver by agreement

B= movements carried under the TIR Convention

INVALID GUARANTEE REASON CODE **an** **..3**

Used to specify the invalid guarantee reason (IE32, IE201)

Possible values:

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G01 = Does not exist;

G02 = Guarantee exists, but not valid;

G03 = Access code not valid;

G04 = Principal Guarantee ≠ Declaration;

G05 = Individual guarantee voucher already used;

G06 = Use restriction : No suspended goods;

G07 = Use restriction : NO sensitive goods;

G08 = Not valid for Country of Tra/Des;

G09 = Reference/Guarantee amounts NOT sufficient;

G10 = Individual guarantee by Guarantor already used;

G11 = Customs Office of Departure/Customs Office of Destination does not correspond (Guarantee type '2');

G12 = Declared Commodity code ≠ Commodity code in guarantee;

G13 = TIR guarantee not reusable.

INVALIDITY REASON CODE	an	3	To be defined at national level (IE37), e.g. Guarantee provisionally blocked.
KIND OF PACKAGES	an	..3	Kind of packaging in which goods are packed. For a list of possible codes (UNECE Recommendation No. 21), see Annex 37c Implementing Provisions and Annex D2 of the Convention
LANGUAGE CODE	an	2	Language Code (LNG) used to define the language used for declaration purposes and for free text information (ISO Alpha 2 Codification - ISO 639), see Annex 37/c Implementing Provisions and Annex D2 to Appendix III of the Convention .
LOADING PLACE	an	..17	The loading place in a Transit declaration where coded, its structure hat to be defined at national level.

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LOCAL REFERENCE NUMBER (LRN)	an	..22	Local Reference Number nationally defined and allocated by the user in agreement with the customs/competent authorities, to identify each single Transit declaration.
MOVEMENT QUERY REASON CODE	an	..3	Codes to be defined
MOVEMENT REFERENCE NUMBER (MRN)	an	18	Movement Reference Number used to identify each single Transit transaction, see: Annex 45a Implementing Provisions and Annex D4 to Appendix III of the Convention.
PREFIX-SUFFIX LEVEL	an	1	Used in CUSTOMS OFFICE LSD (IE30). Values: A = Simple office (see box A in Customs Office List) E = Higher authority (see box E in Customs Office List)
PREFIX-SUFFIX FLAG	n	1	Used in CUSTOMS OFFICE LSD (IE30) Values: 0 = Prefix-Suffix Name is put BEFORE the Office name 1 = Prefix-Suffix Name is put AFTER the Office name
PREVIOUS DOCUMENT TYPE	an	..6	Previous administrative reference types: T2, T2L, T2F, T2LF, T2CIM, T2TIR, T2ATA, T2SM, EX, IM, CO, EU Additional Codes can be defined at national level.

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QUERY IDENTIFIER	n	1	<p>Query on Guarantee Type (IE34).</p> <p>Values:</p> <p>1 = Usage only</p> <p>2 = Exposure only</p> <p>3 = Usage and exposure</p> <p>4 = General information only (no usage & exposure)</p>
REGION CODE	an	..3	<p>Codes to be defined at national level.</p>
REQUESTED INFORMATION CODE	n	1	<p>Values:</p> <p>1 = Detailed goods description needed</p> <p>2 = Copy of the Invoice needed</p> <p>3 = Copy of Manifest or Connoissement or Airway bill needed</p> <p>4 = Name/Address of the person in charge of the formalities at DEST needed</p> <p>5 = Following additional information needed</p> <p>6 = Following additional documents needed</p>
RESPONSE CODE	an	..2	<p>Values:</p> <p>1 = Movement unknown at Destination</p> <p>2 = Assumed Duplication</p> <p>3 = Return Copy returned on</p> <p>4 = Request for Recovery at Destination</p>
RISK ANALYSIS RESULT CODE	an	..5	<p>Some values are defined at Common Domain-level, other values can be defined at National Domain level. Digits 1-4 will be defined by Risk Management Framework, the last digit shall be the code from</p>

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the Risk analysis result code list.

Values at common domain level defined by the Risk Management Framework project are the following:

A: No load notification to be sent (can be used in ICS only)

B: Common risk analysis result for which an immediate action is required at the Office of First Entry/Transit (can be used in ICS and NCTS)

C: Common risk analysis result to be communicated to the Office(s) of Subsequent Entry in other EU Member States (can be used in ICS only)

D: Common risk analysis result for which an immediate action is required at the Office of Export/Departure (can be used in ECS and NCTS)

E: Common risk analysis result to be communicated to the Office(s) of Exit (ECS) or Transit and Office of Destination (NCTS) (can be used in ECS and NCTS)

N: National risk analysis results. ('N' shall also be used in case of national profile random risk analysis) (can be used in ECS and NCTS).

Z: No risk analysis results available (can be used in ICS, ECS, and NCTS)

0 (zero): No risk identified (can be used in ICS only)

ROLE

an

3

Customs Office performing one or more precise role(s) in Transit.

Values:

AUT = Higher Authority

CCD = Central Office – Common Domain

CND = Central Office – National Domain

DEP = Office of Departure

DES = Office of Destination

GUA = Office of Guarantee

REC = Office of Recovery

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			TRA = Office of Transit
SECURITY	n	1	1 = Declaration used for safety and security purposes Not present = Not used for safety and security purposes
SENSITIVE GOODS CODE	n	..2	Additional identifier for sensitive goods.
SPACE_TO ADD	n	1	Used in CUSTOMS OFFICE LSD (IE30) Values: 0 = A space MUST NOT be added between Prefix-Suffix Name and Customs Office Actual Name 1 = A space MUST be added between Prefix-Suffix Name and Customs Office Actual Name
SPECIFIC CIRCUMSTANCE INDICATOR	a	1	Regulation 1875/2006, Annex 30A. Possible values for NCTS: A = Postal and express consignments B = Ship and aircraft supplies C = Road mode of transport D = Rail mode of transport E = Authorised economic operators
SPECIFIC NOTES CODE	an	..6	Specific Notes Codes are used, when necessary, to define the characteristics of the customs offices to be registered in the electronic version of the Customs Office List (COL). List of codes available in Annex 9 hereafter.
STATISTICS TYPE	an	3	For a list of possible types see Section V under Heading 1.2 ‘Archive Procedures’.
SYSTEM UNAVAILABILITY TYPE	a	1	Values: S = Scheduled Unavailability U = Unscheduled Unavailability

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			N = Business service not implemented
TIN	an	..17	The Trader Identification Number shall be defined on national level.
(TRADER IDENTIFICATION NUMBER)			However, the first 2 digits shall consist of the country code.
TRADER IDENTIFICATION NUMBER	an	..17	The structure of the Trader Identification Number is defined in each country and is taken as it is, without conversion, into Transit (the TIN can e.g. be a VAT number).
TRAFFIC TYPE	an	..3	Type of traffic dealt with in the Transit Customs Office.
			Values:
			P = Sea traffic
			V = Rail traffic
			R = Road Traffic
			AIR = Airport
			C = River traffic
			N/A = Not Applicable
TRANSIT OPERATION STATE TYPE	an	..3	Some values are defined at Common Domain level (see IE32). Other values can be defined at National Domain level. The transit operation states can be found in FTTS, Section 2 under Heading 1.10.1 "State Transition Diagram".
			Values at common domain level are:
			Departure:
			A01 Arrived
			A02 Guarantee registered
			A03 Movement released
			A04 Movement under resolution
			A05 Movement written-off

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Cancellation:	A06	Under recovery procedure
	B01	AAR Cancelled
	B02	ATR Cancelled
Destination:	C01	AAR Created
	C02	AAR Requested
	C03	Arrived
	C04	Arrival Accepted
	C06	Goods released
	C07	None
	C08	Waiting discrepancies resolution
	C10	Under recovery procedure
Transit:	D01	ATR Created
	D02	ATR Requested
	D03	Arrived
	D04	Movement stopped
	D05	Movement turned back
	D06	NCF registered
	D07	None
	D08	Under recovery procedure

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TRANSPORT CHARGES - METHOD OF PAYMENT	a	1	Commission Regulation (EC) 1875/2006, Annex 30A. Common code values for NCTS: A = Payment in cash B = Payment by credit card C = Payment by cheque D = Other H = Electronic credit transfer Y = Account holder with carrier Z = Not pre-paid
TRANSPORT MODE	n	..2	Mode of transport corresponding to the active means of transport; see Annex 38 of the Implementing Provisions and Annex A9 to Appendix III of the Convention .
TYPE OF TRANSPORT CROSSING BORDER (BOX 21)	n	..2	To be defined at national level.
UN DANGEROUS GOODS CODE	an	4	Codes from the UNDG (United Nations Dangerous Goods list) shall be used. The United Nations Dangerous Goods Identifier (UNDG) is the unique serial number (n4) assigned within the United Nations to dangerous substances and articles. This element shall be provided where it is relevant. http://www.unece.org/trans/danger/publi/adr/adr2007/07ContentsE.html
UN/LOCODE	a	3	To be defined at national level (within the ECE/TRADE/205, Recommendation 16).
UNIT OF MEASURE	a	3	Values: HTL = hectolitre KGM = kilogram PCE = pieces or items